

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:	Chapter 11
In re:	:	
	:	Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., <i>et al.</i>	:	
	:	(Jointly Administered)
Debtors.	:	
	:	Ref. Docket Nos. 57672, 57674,
	:	57694, 57696, 57697, 57698, 57699,
	:	57700, 57702, 57703, 57704
-----	X	

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On February 23, 2018, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated February 23, 2018, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Forrest Kuffer  
Forrest Kuffer

Sworn to before me this  
26<sup>th</sup> day of February, 2018  
/s/ John Chau

\_\_\_\_\_  
Notary Public, State of New York  
No. 01CH6353383  
Qualified in Queens County  
Commission Expires January 23, 2021

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD  
C/O MARINER INVESTMENT GROUP, INC.  
ATTN: JOHN KELTY  
500 MAMARONECK AVENUE, SUITE 101  
HARRISON, NY 10528

TRICADIA DISTRESSED AND SPECIAL SITUATIONS  
MASTER FUND LTD  
C/O TRICADIA CAPITAL MANAGEMENT LLC  
ATTN: JAMES E. MCKEE  
780 THIRD AVENUE, 29TH FLOOR  
NEW YORK, NY 10017

Please note that your claim # 23751 in the above referenced case and in the amount of  
\$2,856,351.75 allowed at \$900,000.00 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000126321698 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 34055



BARCLAYS BANK PLC  
DANIEL MIRANDA  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK, NY 10019

BARCLAYS BANK PLC  
TRANSFEROR: TRICADIA DISTRESSED AND SPECIAL  
SITUATIONS MASTER FUND LTD  
ATTN: SALVATORE RUSSO, DISTRESSED LOAN  
CLOSING  
700 PRIDES CROSSING  
NEWARK, DE 19713

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 57700 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 02/23/2018 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on February 23, 2018.

## **EXHIBIT B**

Claim Name	Address Information
BANCA DELLE MARCHE S.P.A.	ATTN: MR. ENZO TELLONI, VIA GHISLIERI 6, JESI (AN) 60035 ITALY
BANCA DELLE MARCHE S.P.A.	VIA GHISLIERI, 6, JESI (AN) 60035 ITALY
BANCA DELLE MARCHE S.P.A.	ATTENTION: ENZO TELLONI, 6 VIA GHISLIERI, JESI (AN) 60035 ITALY
BANCA DELLE MARCHE S.P.A.	VIA GHISLIERI, 6, JESI (AN) 60035 ITALY
BANCA DELLE MARCHE S.P.A.	ATTENTION: ENZO TELLONI, 6 VIA GHISLIERI, JESI (AN) 60035 ITALY
BANCA DELLE MARCHE S.P.A.	VIA GHISLIERI, 6, JESI (AN) 60035 ITALY
BANCA DELLE MARCHE S.P.A.	ATTENTION ENZO TELLONI, 6 VIA GHISLIERI, JESI (AN) 60035 ITALY
BANCA POPOLARE DELL'ETRURIA E DEL LAZIO SOCIETA COOPERATIVA	TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.P.A., SERVIZIO AMM. STRUMENTI FINANZIARI, ATTN: MR. PIERO PIETRINI, VIA CALAMANDREI, 255, AREZZO I-52100 ITALY
BANCA POPOLARE DELL'ETRURIA E DEL LAZIO SOCIETA' COOPERATIVA	TRANSFEROR: CREDITO EMILIANO SPA, ATTN: MR. PIERO PIETRINI, SERVIZIO AMM. STRUMENTI FINANZIARI, VIA CALAMANDREI, 255, AREZZO I-52100 ITALY
BANCA POPOLARE DELL'ETRURIA E DEL LAZIO-SOCIETA COOPERATIVA	ATTN: PIERO PIETRINI, 255, VIA CALAMANDREI, I-52100 AREZZO ITALY
BANK J. SAFRA SARASIN LTD.	BAER & KARRER LTD.,, ATTN. PETER HSU, BRANDSCHENKESTRASSE 90, ZURICH CH-8027 SWITZERLAND
BANK J. SAFRA SARASIN LTD.	CLEARLY GOTTLIEB STEEN & HAMILTON LLP, ATTN: ANDRE A. BERNSTEIN, ESQ., 12, RUE DE TILSITT, PARIS 75008 FRANCE
BARCLAYS BANK PLC	TRANSFEROR: MARINER-TRICADIA CREDIT STRATEGIES MASTER FUND, LTD, ATTN: SALVATORE RUSSO, DISTRESSED LOAN CLOSING, 700 PRIDES CROSSING, NEWARK, DE 19713
BARCLAYS BANK PLC	DANIEL MIRANDA, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019
BARCLAYS BANK PLC	TRANSFEROR: MARINER-TRICADIA CREDIT STRATEGIES MASTER FUND, LTD, ATTN: SALVATORE RUSSO, DISTRESSED LOAN CLOSING, 700 PRIDES CROSSING, NEWARK, DE 19713
BARCLAYS BANK PLC	DANIEL MIRANDA, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019
BARCLAYS BANK PLC	TRANSFEROR: TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD, ATTN: SALVATORE RUSSO, DISTRESSED LOAN CLOSING, 700 PRIDES CROSSING, NEWARK, DE 19713
BARCLAYS BANK PLC	DANIEL MIRANDA, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019
BARCLAYS BANK PLC	TRANSFEROR: TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD, ATTN: SALVATORE RUSSO, DISTRESSED LOAN CLOSING, 700 PRIDES CROSSING, NEWARK, DE 19713
BARCLAYS BANK PLC	DANIEL MIRANDA, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019
HSBC PRIVATE BANK SUISSE SA	ATTN: JACQUES DUROUVENOS, SECURITIES DEPARTMENT MANAGER, QUAI GENERAL-GUISAN 2, GENEVA 3 1211 SWITZERLAND
MARINER-TRICADIA CREDIT STRATEGIES MASTER FUND, LTD	C/O MARINER INVESTMENT GROUP, LLC, ATTN: JOHN KELTY, 500 MAMARONECK AVENUE, HARRISON, NY 10528
MARINER-TRICADIA CREDIT STRATEGIES MASTER FUND, LTD	JAMES E. MCKEE, C/O TRICADIA CAPITAL MANAGEMENT, LLC, 780 THIRD AVENUE, 29TH FLOOR, NEW YORK, NY 10017
MARINER-TRICADIA CREDIT STRATEGIES MASTER FUND, LTD	C/O MARINER INVESTMENT GROUP, LLC, ATTN: JOHN KELTY, 500 MAMARONECK AVENUE, HARRISON, NY 10528
MARINER-TRICADIA CREDIT STRATEGIES MASTER FUND, LTD	JAMES E. MCKEE, C/O TRICADIA CAPITAL MANAGEMENT, LLC, 780 THIRD AVENUE, 29TH FLOOR, NEW YORK, NY 10017
SOUTHEY CAPITAL LTD.	TRANSFEROR: HSBC PRIVATE BANK SUISSE SA, ATTN: ROBERT SOUTHEY, ENSIGN HOUSE, SUITE 17, 2 ADMIRALS WAY, LONDON E14 9XQ UNITED KINGDOM
SOUTHEY CAPITAL LTD.	TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, ATTN: ROBERT SOUTHEY, ENSIGN HOUSE, SUITE 17, 2 ADMIRALS WAY, LONDON E14 9XQ UNITED KINGDOM
TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD	C/O MARINER INVESTMENT GROUP, INC., ATTN: JOHN KELTY, 500 MAMARONECK AVENUE, SUITE 101, HARRISON, NY 10528
TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD	C/O TRICADIA CAPITAL MANAGEMENT LLC, ATTN: JAMES E. MCKEE, 780 THIRD AVENUE, 29TH FLOOR, NEW YORK, NY 10017
TRICADIA DISTRESSED AND SPECIAL	C/O MARINER INVESTMENT GROUP, INC., ATTN: JOHN KELTY, 500 MAMARONECK AVENUE,

Claim Name	Address Information
SITUATIONS MASTER FUND LTD	SUITE 101, HARRISON, NY 10528
TRICADIA DISTRESSED AND SPECIAL SITUATIONS MASTER FUND LTD	C/O TRICADIA CAPITAL MANAGEMENT LLC, ATTN: JAMES E. MCKEE, 780 THIRD AVENUE, 29TH FLOOR, NEW YORK, NY 10017
UBI BANCA S.P.A.	TRANSFEROR: BANCA DELLE MARCHE S.P.A., ATTN: NICOLETTA RAVASIO / SILVANA PISANI, PIAZZA VITTORIO VENETO N. 8, 24122 BERGAMO ITALY
UBI BANCA S.P.A.	TRANSFEROR: BANCA DELLE MARCHE S.P.A., ATTN: NICOLETTA RAVASIO / SILVANA PISANI, PIAZZA VITTORIO VENETO N. 8, 24122 BERGAMO ITALY
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UBI BANCA S.P.A.	TRANSFEROR: BANCA POPOLARE DELL'ETRURIA E DEL LAZIO SOCIETA COOPERATIV, ATTN: NICOLETTA RAVASIO / SILVANA PISANI, PIAZZA VITTORIO VENETO N. 8, 24122 BERGAMO ITALY
UBI BANCA S.P.A.	TRANSFEROR: BANCA POPOLARE DELL'ETRURIA E DEL LAZIO SOCIETA' COOPERATI, ATTN: NICOLETTA RAVASIO / SILVANA PISANI, PIAZZA VITTORIO VENETO N. 8, 24122 BERGAMO ITALY
UBI BANCA S.P.A.	TRANSFEROR: BANCA POPOLARE DELL'ETRURIA E DEL LAZIO-SOCIETA COOPERATIV, ATTN: NICOLETTA RAVASIO / SILVANA PISANI, PIAZZA VITTORIO VENETO N. 8, 24122 BERGAMO ITALY
UBS AG	TRANSFEROR: UBS EUROPE SE, ATTN: MR. HUGO KOLLER, BAHNOFSTRASSE 45, CH-8001 ZURICH SWITZERLAND
UBS AG	TRANSFEROR: UBS EUROPE SE, ATTN: MR. HUGO KOLLER, BAHNOFSTRASSE 45, CH-8001 ZURICH SWITZERLAND
UBS AG	TRANSFEROR: BANK J. SAFRA SARASIN LTD., BAHNOFSTRASSE 45, CH-8001 ZURICH SWITZERLAND
UBS EUROPE SE	F/K/A UBS (ITALIA) SPA, ATTN: VALENTINA NEMBRI, VIA BENIGNO CRESPI 24, MILANO 20159 ITALY
UBS EUROPE SE	F/K/A UBS (ITALIA) SPA, ATTN: VALENTINA NEMBRI, VIA BENIGNO CRESPI 24, MILANO 20159 ITALY
VAN DER WERF, K.W	TRANSFEROR: SOUTHEY CAPITAL LTD., ROLDERDIEPHOF 120, 3521 DB UTRECHT NETHERLANDS

<b>Total Creditor Count 44</b>
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